

AD _____

Award Number: DAMD17-01-1-0295

TITLE: Impact of C-neu/erbB2 on Estrogen and Estrogen Receptor
Alpha-Dependent Proliferation of Mammary Ductal
Epithelial Cells

PRINCIPAL INVESTIGATOR: Gopalan Shyamala, Ph.D.

CONTRACTING ORGANIZATION: Ernest Orlando Lawrence Berkeley
National Laboratory
Berkeley, California 94701

REPORT DATE: October 2003

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

20040720 018

REPORT DOCUMENTATION PAGEForm Approved
OMB No. 074-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503

1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE October 2003	3. REPORT TYPE AND DATES COVERED Annual (4 Sep 02-3 Sep 03)	
4. TITLE AND SUBTITLE Impact of C-neu/erbB2 on Estrogen and Estrogen Receptor Alpha-Dependent Proliferation of Mammary Ductal Epithelial Cells			5. FUNDING NUMBERS DAMD17-01-1-0295	
6. AUTHOR(S) Gopalan Shyamala, Ph.D.				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Ernest Orlando Lawrence Berkeley National Laboratory Berkeley, California 94701 E-Mail: Shyamala_Harris@lbl.gov			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012			10. SPONSORING / MONITORING AGENCY REPORT NUMBER	
11. SUPPLEMENTARY NOTES Original contains color plates. All DTIC reproductions will be in black and white.				
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited				12b. DISTRIBUTION CODE
13. ABSTRACT (Maximum 200 Words) The objective of our research is to examine the expression patterns of estrogen receptor (ER α), progesterone receptor (PR) and C-Neu in mammary glands of wild type and C-Neu transgenic mice during various developmental states and identify the relationships between these expression patterns to cells undergoing proliferation. In previous studies, we demonstrated that there were differences between the mammary glands of wild type and C-Neu mice with regard to their expression patterns of PR. And were apparent as early as six weeks of age. Our present studies reveal that mammary glands of c-neu mice contain abnormal structures with a high rate of proliferation and also that this is ovarian steroid/estrogen independent and detectable as early as 6 weeks of age. The average onset of mammary tumors in c-neu mice is approximately 30-32 weeks. Yet, our studies, so far, indicate that c-neu dependent alterations in ovarian steroid hormonal regulation of mammary epithelial cells represent early events and not a late phenomenon associated with tumor progression. We propose that estrogen independent proliferation may be intrinsic to mammary cells that over express c-neu. If so, both blocking erbB2 activity and the use of appropriate SERMS may be more beneficial in the clinical management of c-neu cancers.				
14. SUBJECT TERMS No subject terms provided.				15. NUMBER OF PAGES 11
				16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT Unclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT Unlimited	

Table of Contents

Cover	1
SF 298	2
Table of Contents	3
Introduction	4
Body	4
Key Research Accomplishments	5
Reportable Outcomes	5
Conclusions	5
References	5
Appendices	7

Introduction

Signaling by the sex steroids, estrogen and progesterone, through their cognate receptors, is essential for mammary gland morphogenesis. As such, ductal growth during puberty requires estrogen receptor alpha (ER α) and not progesterone receptor (PR) while lobular-alveolar growth during pregnancy requires PR. The growth promoting effects of these steroids are believed to be mediated by growth factors that signal through the family of erbB receptors, such as C-neu/erbB2. We have found that in transgenic mice over-expressing C-Neu (1), ductal growth during puberty is compromised without any gross impairment in lobulo-alveolar growth during pregnancy (2). Normal mammary glands consists of various epithelial subtypes and the distribution of ER α , PR and C-Neu are heterogeneous in the epithelium and appropriate signaling through hormones and growth factors require cell-cell interactions. Accordingly, we believe that (a) the individual and combined effects of ER α , PR and/or C-Neu (in conjunction with other erbB receptors) depends on the mammary epithelial sub-type and the interactions among these receptors and (b) the net outcome of these interactions is to direct the developmental fate of the various epithelial sub-classes towards ductal or lobular morphogenesis. To test this we are examining the expression patterns of ER α , PR and C-Neu in mammary glands of wild type and C-Neu transgenic mice during various developmental states and identifying the relationships between these expression patterns to cells undergoing proliferation.

Body

The tasks outlined in the approved statement of work are as follows:

- (1) To examine the expression patterns of ER, PR and C-neu in mammary glands of wild type and C-neu transgenic mice during various developmental states and identify their relationships to cells undergoing proliferation;
- (2) To examine the growth patterns of mammary glands of C-neu transgenic mice upon serial transplantation.

All the research accomplishments reported cover the period of sept.2002 to august 2003.

Analyses for cell proliferation in mammary glands of wild type and C-neu transgenic mice during various developmental states. In these experiments, we used BrdU labeling as an index for analyses of proliferation. In the mammary glands of pubertal mice, intense mitotic activity resides in unique structures called terminal endbuds (TEB's) (3) and consistent with this, TEB's of wild type mice contain a high percentage of cells labeled with BrdU (Fig.1, panel b) while the mature ducts, known to be relatively quiescent, have few labeled cells (Fig.1, panel a). The TEB's in mammary glands of pubertal c-neu mice also exhibit high rate of cell proliferation with the distinction that their structures are abnormal (Fig.1, panel's e and f). Similar to the wild type mice, the mature ducts in C-en mice also have low proliferation (Fig.1, panel c) while abnormal ducts had a high rate of proliferation. (Fig.1, panel d). The number of BrdU positive cells in the various structures in the two strains of mice is presented in Fig.2.

As reported previously (2), in contrast to adult wild type mice, in mammary glands of young adult c-neu mice ductal growth is compromised (12 weeks old) such that they still contained TEB's. As found with pubertal mice, these TEB's were also abnormal and exhibited a high rate of cell proliferation (Figs.2 and 3).

To examine cell proliferation during pregnancy we analyzed tissues from early pregnant (day 6 of pregnancy) and late pregnant (day18 of pregnancy) mice. As well known, with

the onset of pregnancy, there was an increase in cell proliferation in mammary glands of both wild type and c-neu mice which declined during late pregnancy (Fig.2). However, in c-neu mice, during early pregnancy, the proliferation was greater than that observed with wild type mice. (Fig.4). On the other hand, similar to wild type mice, there was a reduction in cell proliferation during late pregnancy. (Fig.4).

Cell proliferation in TEB's of c-neu mice is ovarian independent. It is well known that estradiol signaling through ER α is essential for cell proliferation associated with TEB's and also their maintenance (4,5)). Having found that ductal growth was compromised in mammary glands of c-neu mice, as part of specific aim 1, we had proposed to examine the mammary glands of c-neu transgenic mice for their ability to respond to estradiol with cell proliferation. To this end, we depleted the circulating levels of endogenous estrogen with ovariectomy and examined its impact on cell proliferation. In mammary glands of wild type pubertal mice, ovariectomy causes the disappearance of the majority of TEB's (6)) due to cessation of proliferation such that only mature ducts are present in these mammary glands; these, as expected, have low BrdU labeling index (Fig.2). In contrast, ovariectomy did not have a significant effect on cell proliferation in the TEB's of c-neu mice (Fig.5, panel b and Fig. 2).

As found with pubertal mice, proliferation in the endbuds of adult mice also appeared to be estrogen independent such that it was unaffected by treatment with antiestrogen, ICI-182, 780. (Fig.5. Panel d)

Key Research Accomplishments

- 1.Mammary glands of c-neu mice contain abnormal structures with a high rate of proliferation.
- 2.Cell proliferation in mammary glands is ovarian steroid/estrogen independent and is detectable as early as 6 weeks of age.

Reportable Outcomes:

Funding applied for based on work supported by this award.

Conclusions

The average onset of mammary tumors in c-neu mice is approximately 30-32 weeks (1). Yet, as shown in this report, in mammary glands of C-neu mice, ovarian independent proliferation appears to be an early event detectable as early as 6 weeks of age indicating that it is not a late phenomenon associated with tumor progression. These observations have direct relevance to human mammary tumors that over express erbB2 /HER-2 which do not respond to endocrine therapy. It is generally presumed that the inability of these tumors to respond to endocrine therapy is because they are in late stages of progression. Our studies suggest that estrogen independent proliferation may be intrinsic to mammary cells that over express c-neu. This leads us to propose that a higher degree of erbB2 expression seen in early phases of breast cancer may not be sufficient for progression from a benign to a malignant phenotype.

References

1. Guy, C.T., Webster, M.A., Schaller, M., Parsons, T.J., Cardiff, R. D. & Muller, W.J. (1992). Expression of the neu protooncogene in the mammary epithelium of

- transgenic mice induces metastatic disease, *Proc. Natl. Acad. Sci., USA*, 89, 10578-10582.
2. Mukherjee, S., Louie, S.G., Campbell, M., Esserman, L. & Shyamala, G. (2000). Ductal growth is impeded in mammary glands of C-neu transgenic mice, *Oncogene*, 19, 5982-7.
 3. Daniel, C.W., Silberstein, G.B., (1987). Postnatal development of the rodent mammary gland, *The Mammary Gland: Development, Regulation, and Function*, 3-31.
 4. Bocchinfuso, W. P., Korach, K. S., (1997). Mammary gland development and tumorigenesis in estrogen receptor knockout mice, *Journal of Mammary Gland Biology and Neoplasia*, 2, 323-334.
 5. Silberstein, G. B., Van Horn, K., Shyamala, G., Daniel, C. W., (1994). Essential role of endogenous estrogen in directly stimulating mammary growth demonstrated by implants containing pure antiestrogens, *Endocrinology*, 1, 84-90.

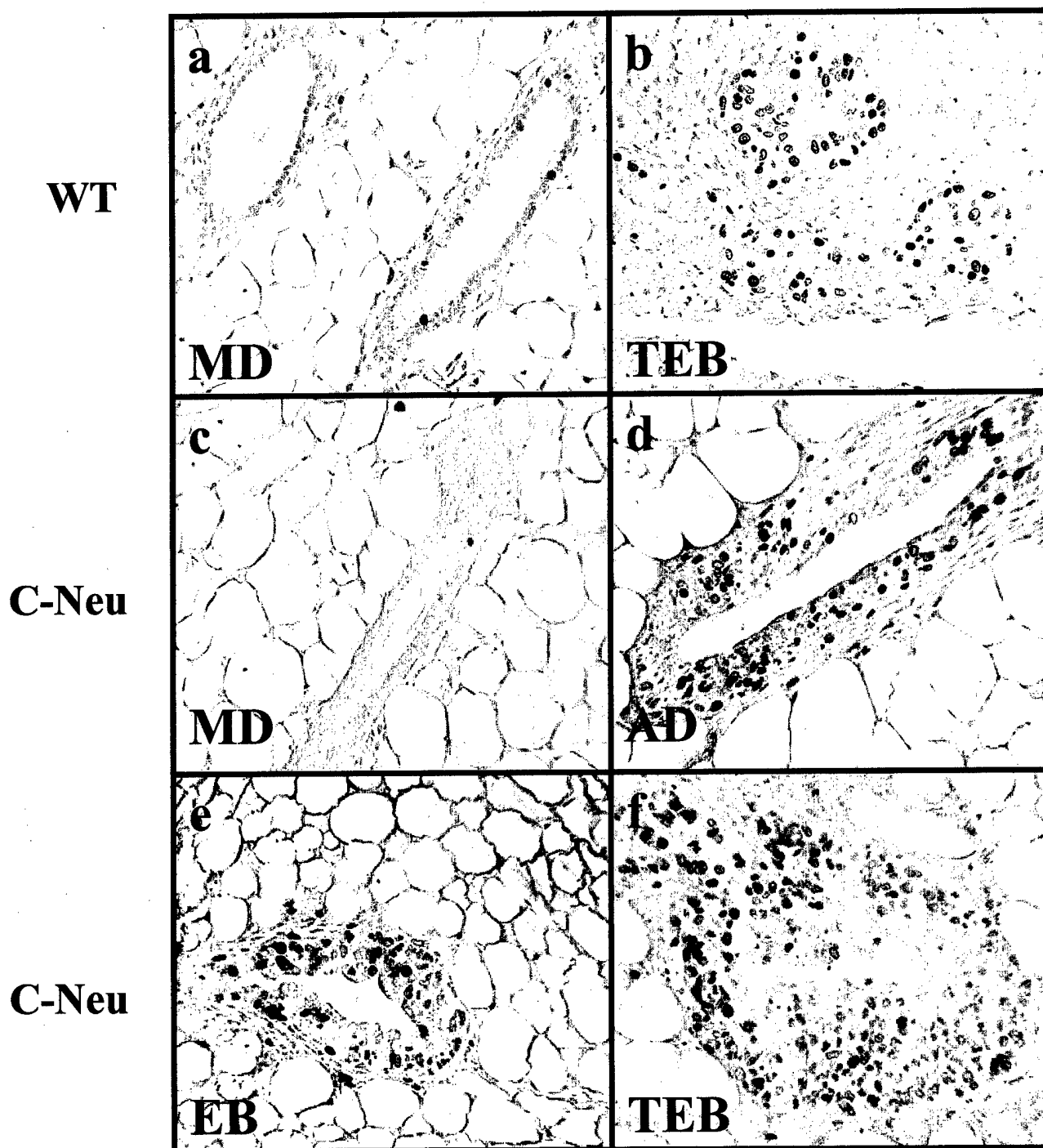


Fig. 1 Analyses for cell proliferation in mammary glands of pubertal wild type and *c-neu* transgenic mice. Mammary glands from wild type (a, b) and *c-neu* transgenic mice (c-f) were analyzed for immunoreactive BrdU as described previously (4). Magnification 400 X. MD: Mature ducts; TEB: Terminal end buds; AD: Abnormal duct; EB: End bud.

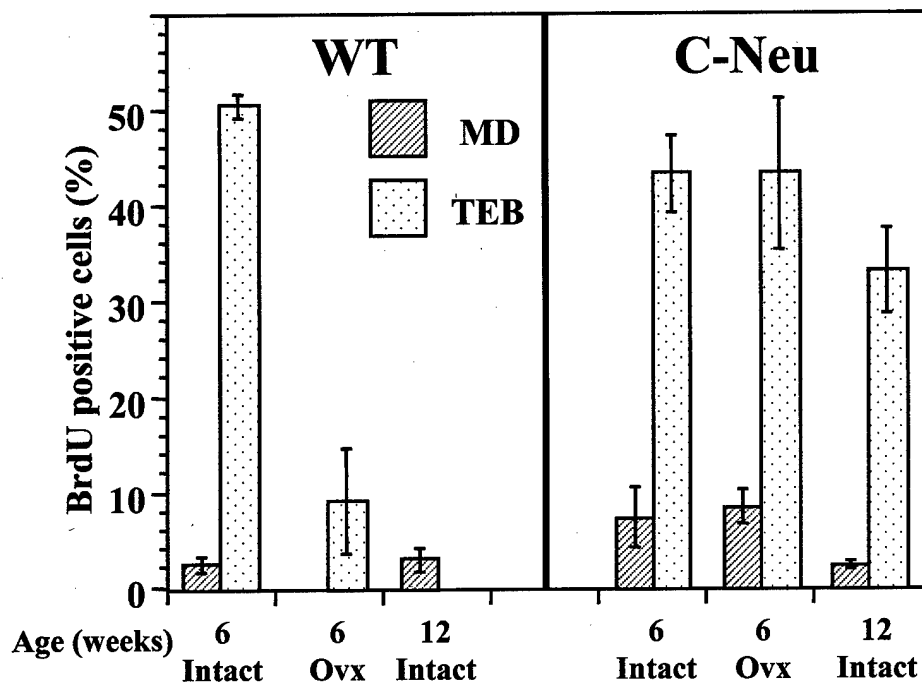


Fig. 2 BrdU positive cells in mammary glands from intact and ovariactomized pubertal (6 weeks) wild type and c-neu mice and intact adult (12 weeks old) wild type and c-neu mice. BrdU positive cells were analyzed as described previously (4). The data is presented as percentages (mean \pm S.E.M.) in the different morphological structures: TEB, terminal end bud; MD, mature ducts. For each experimental group, three mice were analyzed and mammary glands for each mouse were analyzed in triplicate. The percentage of immuno-positive cells was obtained by counting a minimum of 500 cells per gland.

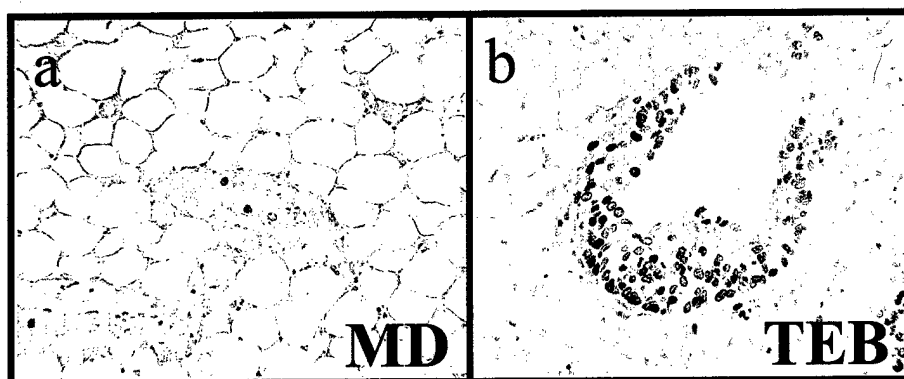


Fig.3 Immunostaining for BrdU in TEB's of mammary glands of adult(12 weeks old) c-neu transgenic mice is unaffected by antiestrogen ICI 187, 780. Intact adult c-neu mice as is or after treatment with ICI 182, 780, daily for four days, were analyzed for immunoreactive BrdU as described previously (4).

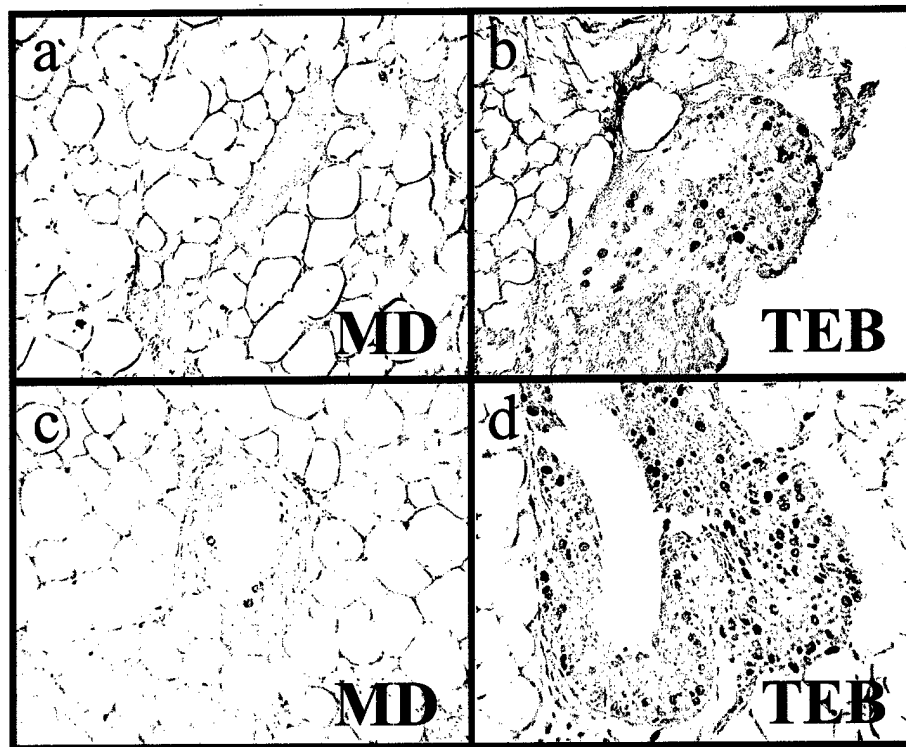


Fig. 4 Cell proliferation in teris of ovarioctomized pubertal and adult c-neu transgenic mice is ovarian/estrogen independent. Mice were ovarioctomized for 14 days prior to tissue removal and analysis for immunoreactive BrdU as described previously (4). Magnification, 400X. MD: mature duct; TEB: Terminal end bud.

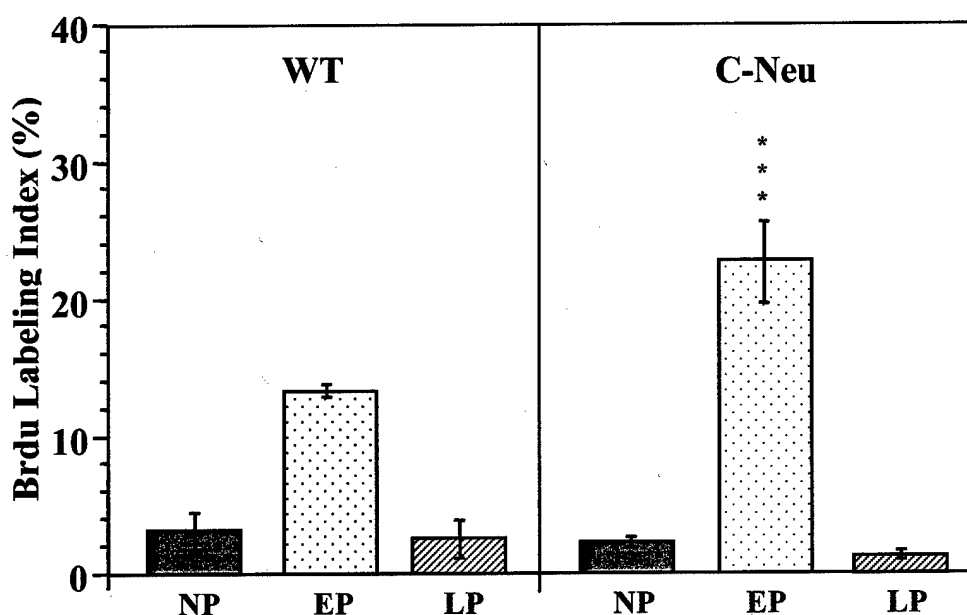


Fig. 5 BrdU positive cells in mammary glands of nulliparous and pregnant mice. Mammary glands from wild type (WT) and c-neu mice (C-Neu) were analyzed for BrdU positive cells as described previously (4). The data is presented as percentages (mean \pm S.E.M.). For each experimental group, three mice were analyzed and mammary glands for each mouse were analyzed in triplicate. The percentage of immuno-positive cells was obtained by counting a minimum of 500 cells per gland. NP: Nulliparous; EP: day 6 of pregnancy; LP: day 18 of pregnancy.